

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



## Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L32201GJ1988PLC010331

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AACCS6739B

(ii) (a) Name of the company

SYMPHONY LIMITED

(b) Registered office address

"Symphony House", Third Floor, FP-12, TP-50,  
Off S.G. Highway, Bodakdev,  
Ahmedabad  
Ahmedabad  
Gujarat  
380050

(c) \*e-mail ID of the company

companysecretary@symphony

(d) \*Telephone number with STD code

07966211111

(e) Website

www.symphonylimited.com

(iii) Date of Incorporation

05/02/1988

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LIMITED	1
2	NATIONAL STOCK EXCHANGE OF INDIA LIMITED	1,024

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PTC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,  
Financial District, Nanakramguda, Serilingampally

(vii) \*Financial year From date 01/04/2019 (DD/MM/YYYY) To date 31/03/2020 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 22/09/2020

(b) Due date of AGM 30/09/2020

(c) Whether any extension for AGM granted ☐ Yes ☒ No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities 2

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	G	Trade	G1	Wholesale Trading	91
2	C	Manufacturing	C10	Electrical equipment, General Purpose and Special purpose Machinery & equipment,	9

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given 6

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	Guangdong Symphony Kerula		Subsidiary	100

2	IMPCO S. de R. L. de C.V.		Subsidiary	100
3	Symphony AU Pty Limited		Subsidiary	95
4	Climate Technologies Pty Limited		Subsidiary	95
5	Bonaire USA LLC		Subsidiary	95
6	Symphony Climatizadores Ltda		Subsidiary	100

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	75,000,000	69,957,000	69,957,000	69,957,000
Total amount of equity shares (in Rupees)	150,000,000	139,914,000	139,914,000	139,914,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	75,000,000	69,957,000	69,957,000	69,957,000
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	150,000,000	139,914,000	139,914,000	139,914,000

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)	0	0		

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>				
<b>At the beginning of the year</b>	69,957,000	139,914,000	139,914,000	
<b>Increase during the year</b>	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
<b>Decrease during the year</b>	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
<b>At the end of the year</b>	69,957,000	139,914,000	139,914,000	
<b>Preference shares</b>				
<b>At the beginning of the year</b>	0	0	0	

<b>Increase during the year</b>	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
<b>Decrease during the year</b>	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
<b>At the end of the year</b>	0	0	0	

**(ii) Details of stock split/consolidation during the year (for each class of shares)**

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

☐ Nil

[Details being provided in a CD/Digital Media]

☐

Yes

☒

No

☐

Not Applicable

Separate sheet attached for details of transfers

☒

Yes

☐

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting

31/07/2019

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)****(i) Turnover**

7,142,444,916

**(ii) Net worth of the Company**

6,488,446,429

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	39,980,540	57.15	0	
	(ii) Non-resident Indian (NRI)	1,000	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	12,483,200	17.84	0	
10.	Others FAMILY TRUSTS	3,000	0	0	
	<b>Total</b>	52,467,740	74.99	0	0

**Total number of shareholders (promoters)**

11

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	3,629,726	5.19	0	
	(ii) Non-resident Indian (NRI)	266,667	0.38	0	
	(iii) Foreign national (other than NRI)	0	0	0	



2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	7,313	0.01	0	
6.	Foreign institutional investors	3,694,270	5.28	0	
7.	Mutual funds	7,097,693	10.15	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,534,910	2.19	0	
10.	Others NBFC, AIF, TRUSTS, QIB, IEP	1,258,681	1.8	0	
	<b>Total</b>	17,489,260	25	0	0

**Total number of shareholders (other than promoters)**

25,549

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

25,560

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

86

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
MATTHEWS INDIA FUND	FOUR EMBARCADERO CENTER SUITE 1000			813,462	1.16
SCHRODER INTERNATIONAL FUND	5 RUE HOHENHOF L-1736 SENNINGEN			322,485	0.46
ONTARIO PENSION BOARD	200 KING STREET WEST SUITE 2200			295,836	0.42
VANGUARD TOTAL INTERNATIONAL STOCK FUND	100 VANGUARD BOULEVARD MALDEN			231,719	0.33
VANGUARD EMERGING MARKETS FUND	100 VANGUARD BOULEVARD MALDEN			215,222	0.31
MONDRIAN EMERGING MARKETS FUND	1105 N MARKET STREET SUITE 111			204,668	0.29
UTI INDIA DYNAMIC EQUITY FUND	78 SIR JOHN ROGERSON'S QUAY DUBLIN			182,019	0.26
UNISUPER LIMITED ASSET MANAGEMENT	Level1 385 Bourke Street Melbourne			137,681	0.2

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
ISHARES CORE EMERG	INTERNATIONAL FINANCIAL SERVI			133,700	0.19
GOVERNMENT PENSIC	Bankplassen 2 P O Box 1179 Sentri			119,038	0.17
SHINSEI UTI INDIA FUN	4TH FLOOR BARKLY WHARF EAST			118,067	0.17
EMERGING MARKETS (	6300 Bee Cave Road Building One			108,078	0.15
THE EMERGING MARK	6300 Bee Cave Road Building One			72,354	0.1
ISHARES CORE MSCI E	JP MORGAN HOUSE IFSC DUBLIN			52,165	0.07
EMERGING MARKETS	400 HOWARD STREET SAN FRANCIS			48,421	0.07
VANGUARD FTSE ALL-	100 VANGUARD BOULEVARD MAL			48,368	0.07
ISHARES INDIA SC MA	IFS COURT TWENTYEIGHT CYBERC			46,935	0.07
MATTHEWS ASIA FUN	80 ROUTE D'ESCH L-1470 LUXEME			44,229	0.06
EMERGING MARKETS	400 HOWARD STREET SAN FRANCIS			43,756	0.06
CALIFORNIA PUBLIC E	400 Q STREET LPE ROOM 4800 SA			40,720	0.06
CANADIAN WORLD FU	10 TORONTO STREET CANADA			33,500	0.05
ISHARES CORE TOTAL	INTERNATIONAL FINANCIAL SERVI			28,552	0.04
SPDR S AND P EMERG	ONE LINCOLN STREET BOSTON M.			27,479	0.04
INDIA AVENUE EQUITY	LEVEL 2 575 BOURKE STREET MELE			24,365	0.03
SCHRODER INTERNAT	5 RUE HOHENHOF SENNINGERBER			23,718	0.03

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
**(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	10	11
Members (other than promoters)	22,678	25,549
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	1	1	1	1	41.83	0
<b>B. Non-Promoter</b>	1	4	1	4	0.09	0
(i) Non-Independent	1	0	1	0	0.09	0
(ii) Independent	0	4	0	4	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	<b>2</b>	<b>5</b>	<b>2</b>	<b>5</b>	<b>41.92</b>	<b>0</b>

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

9

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
ACHAL ANIL BAKERI	00397573	Managing Director	29,262,600	
NRUPESH CHANDRAV	00397701	Whole-time director	65,480	
JONAKI ACHAL BAKERI	06950998	Director	1,000	
NAISHADH INDRAKAN	00009314	Director	0	
REENA PRAVIN BHAG	00096280	Director	0	
ASHISH RAMESHCHAI	00498890	Director	0	
SANTOSH KUMAR NEI	01907138	Director	500	
BHADRESH VINAYCH/	ABFPM0258A	CFO	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
MAYUR CHIMANBHAI	AHDPB5965F	Company Secretary	4	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SANTOSH KUMAR NEI	01907138	Director	31/07/2019	APPOINTMENT
DIPAK KAMLAKAR PAL	00475995	Director	19/08/2019	CESSATION

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	31/07/2019	21,810	67	74.47

**B. BOARD MEETINGS**

\*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	22/05/2019	7	6	85.71
2	31/07/2019	7	6	85.71
3	12/11/2019	7	7	100
4	07/02/2020	7	6	85.71

**C. COMMITTEE MEETINGS**

Number of meetings held

17

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	22/05/2019	4	3	75
2	AUDIT COMM	31/07/2019	4	3	75
3	AUDIT COMM	12/11/2019	3	3	100
4	AUDIT COMM	07/02/2020	4	3	75
5	NOMINATION	22/05/2019	3	2	66.67
6	CORPORATE	22/05/2019	3	3	100
7	CORPORATE	12/11/2019	3	3	100
8	MANAGEMEN	15/04/2019	4	3	75
9	MANAGEMEN	17/06/2019	4	4	100
10	MANAGEMEN	08/01/2020	4	3	75

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	22/09/2020
								(Y/N/NA)
1	ACHAL ANIL B	4	4	100	6	6	100	Yes
2	NRUPESH CH	4	4	100	12	12	100	Yes
3	JONAKI ACHA	4	4	100	5	3	60	Yes
4	NAISHADH IN	4	3	75	17	15	88.24	Yes
5	REENA PRAV	4	4	100	8	8	100	Yes
6	ASHISH RAM	4	4	100	5	5	100	Yes
7	SANTOSH KU	2	2	100	1	1	100	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ACHAL ANIL BAKE	MANAGING DIR	2,412,000	20,000,000	0	1,352,800	23,764,800
2	NRUPESH CHAND	EXECUTIVE DIR	1,284,528	14,363,000	0	668,792	16,316,320
	Total		3,696,528	34,363,000	0	2,021,592	40,081,120

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	BHADRESH VINAY	CHIEF FINANCE	4,915,638	0	0	997,584	5,913,222
2	MAYUR CHIMANBH	COMPANY SEC	2,840,501	0	0	564,034	3,404,535
	Total		7,756,139	0	0	1,561,618	9,317,757

Number of other directors whose remuneration details to be entered

6

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	DIPAK KAMLAKAR	INDEPENDENT	0	0	0	0	0
2	JONAKI ACHAL BAN	NON-EXECUTIVE	0	0	0	0	0
3	NAISHADH INDRAJ	INDEPENDENT	0	0	0	150,000	150,000
4	REENA PRAVIN BH	INDEPENDENT	0	0	0	200,000	200,000
5	ASHISH RAMESHCH	INDEPENDENT	0	0	0	200,000	200,000
6	SANTOSH KUMAR	INDEPENDENT	0	0	0	90,000	90,000
	Total		0	0	0	640,000	640,000

## XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

## XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

☒ Yes ☐ No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

ALKESH JALAN

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

4580

**I/We certify that:**

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...

4b

dated

26/07/2016

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

To be digitally signed by

Director

ACHAL  
ANIL  
BAKERI  
Digitally signed by  
ACHAL ANIL BAKERI  
Date: 2020.10.22  
18:12:27 +05'30'

DIN of the director

00397573

To be digitally signed by

MAYUR C  
BARVADIY  
A  
Digitally signed by  
MAYUR C  
BARVADIYA  
Date: 2020.10.22  
18:12:50 +05'30'

☒ Company Secretary

☐ Company secretary in practice

Membership number

6637

Certificate of practice number

#### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

#### List of attachments

List of FII and FPI.pdf  
List of Transfer 201920.pdf  
ListofMembers.pdf  
Meeting of Committee19\_20.pdf  
MGT-8 - symphony - final.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS / BOARD/ COMMITTEES OF THE BOARD OF DIRECTORS**

**C. COMMITTEE MEETINGS: (in respect to serial no. 11 to 17)**

Sr. No.	Type of Meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
11.	Stakeholders Relationship Committee	22/05/2019	3	3	100.00
12.	Stakeholders Relationship Committee	31/07/2019	3	3	100.00
13.	Stakeholders Relationship Committee	02/09/2019	3	3	100.00
14.	Stakeholders Relationship Committee	12/11/2019	3	3	100.00
15.	Stakeholders Relationship Committee	08/01/2020	3	3	100.00
16.	Stakeholders Relationship Committee	07/02/2020	3	2	66.67
17.	Risk Management Committee	22/05/2019	3	3	100.00

**For, Symphony Limited**

**Mayur Barvadiya**  
**Company Secretary**

Email: [companysecretary@symphonylimited.com](mailto:companysecretary@symphonylimited.com)



B.Com, Company Secretaries  
Resolution Professional  
(IBBI / IPA-002/IP/N 00718/2018-2019 / 12199)

*Jalan Alkesh & Associates*

304, Agrawal Arcade, Nr. Ambawadi Circle,  
Ambawadi, Ahmedabad - 380006  
Tel. : (079) 40024082 (M) 98255 - 55097  
E-mail : jalanalkesh@gmail.com

### Form No. MGT-8

[Pursuant to Section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies  
(Management and Administration) Rules, 2014]

#### **CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

I/ We have examined the registers, records and books and papers of **SYMPHONY LIMITED** as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on **31<sup>st</sup> March, 2020**. In my/ our opinion and to the best of my information and according to the examinations carried out by me/ us and explanations furnished to me/ us by the company, its officers and agents, I/ we certify that

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1. its status under the Act; i.e. Public Limited Company – A Company Limited by Shares.
  2. maintenance of registers/records & making entries therein within the time prescribed therefor;
  3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies (Ministry of Corporate Affairs), within the prescribed time and in case of late filing with additional fees, as applicable. No requirement to file the form obtaining the approval of Regional Director, Central Government, the Tribunal, Court or other authorities except in respect to an approval received from CG for reappointment of Managing Director during the year under review
  4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the

proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;


5. The Company has closed its Register of Members from Saturday, July 6, 2019 to Wednesday, July 10, 2019 (both days inclusive) during the year under the review.
6. The Company has not given advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
7. The Company has entered into transactions with related parties as specified in section 188 of the Act;
8. There was no buy back of securities/ redemption of preference shares or debentures/ or reduction of share capital/ conversion of shares/ securities. Further there was no issue of Shares during the year.
9. There were no instances requiring the Company to keep in abeyance the rights of dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act
10. The Company has transferred necessary amount to the unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act.;
11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. The Board of Directors of the Company is duly constituted. The appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel, if any and the remuneration paid to them is in accordance with the provision of the Act and rules. ;
13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
14. No approvals were taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act. However during the year, the Company has received an approval from Central Government for the re-appointment of Managing Director in respect of an application made in the previous year.;
15. The Company has not accepted / renewed any deposit and hence the question of repayment of deposit does not arise.
16. The Company has not borrowed any money borrowings from its directors and members. However the Company has outstanding charges created in favor of public

financial institutions, banks. During the year under review, the instances requiring creation/ modification/ satisfaction of charges, if any, applicable have been carried out in accordance with the provision of the act. ;

17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act are in compliance with the provision of Section 186 of the Companies Act ;
18. During the year there was no alteration in the provisions of the Memorandum of Association and Articles of Association of the Company.



For, Jalan Alkesh & Associates  
Company Secretary

  
Alkesh Jalan  
Proprietor  
C.P No. 4580

**Date: 22/10/2020**  
**Place: Ahmedabad**

**UDIN : F010620B001037228**

SYMPHONY LIMITED								
Regd.Office:"SYMPHONY HOUSE", FP-12, TP-50, OFF. S.G. HIGHWAY BODAKDEV AHMEDABAD ,GUJARAT 380054								
CIN: L32201GJ1988PLC010331								
Date of closure of previous financial year :31/03/2019								
Sr. No.	Date of Registration of Transfer of Shares	Type of Security	No of Shares	Nominal value (each in Rs.)	Ledger Folio of Transferor	Transferor's Name	Ledger Folio of Transferee	Transferee's Name
1	10/05/2019	1	1000	2	011292	SHYAM KABRA	SYM0100081	PRAPANN KABRA
2	10/07/2019	1	1000	2	012473	TARLA BHARAT SHAH	SYM0100082	KIRTI BHAILAL SHAH
3	31/07/2019	1	1000	2	014234	BHADRAVATI SANGHAVI	SYM0100083	SUSHILCHANDRA SANGHVI
4	21/08/2019	1	1000	2	004563	RAJIV HATHI	SYM0100084	BHARTI HATHI
5	30/08/2019	1	500	2	SYM0100084	BHARTI HATHI	004563	RAJIV HATHI
6	20/09/2019	1	1000	2	003008	PRAMOD MOTILAL KAPADIA	SYM0100086	SOHINI PRAMOD KAPADIA
7	20/09/2019	1	500	2	004563	RAJIV HATHI	SYM0100084	BHARTI HATHI
8	20/09/2019	1	2500	2	019329	V LAKSHMI	SYM0100087	V KRISHNAN
9	31/10/2019	1	1000	2	014707	NATWARLAL PATEL	SYM0100089	RANJANBEN ASHVIN PATEL
10	31/10/2019	1	500	2	007154	SITARAM GUPTA	SYM0100090	MUNNALAL GUPTA
11	31/10/2019	1	1000	2	006359	MINA VINODBHAI SAMANI	SYM0100088	DHAVALBHAI VINODBHAI SAMANI
12	22/11/2019	1	1000	2	009806	BABULAL R LUHAR	SYM0100091	ASHOK KUMAR BABULAL MISTRY
13	10/01/2020	1	1000	2	SYM9500004	SYMPHONY LIMITED UNCLAIMED SHARES	000401	RAJESH MOTWANI
14	10/01/2020	1	500	2	SYM9500004	SYMPHONY LIMITED UNCLAIMED SHARES	000545	AKIL TAHERBHAI BHAGAT
15	10/01/2020	1	1000	2	SYM9500004	SYMPHONY LIMITED UNCLAIMED SHARES	002514	ASHISH VIJAY MAHBUBANI
16	10/01/2020	1	1000	2	SYM9500004	SYMPHONY LIMITED UNCLAIMED SHARES	003273	SANTOSH JAIN
17	10/01/2020	1	500	2	SYM9500004	SYMPHONY LIMITED UNCLAIMED SHARES	006933	V P S CHAKRAVARTHI ARUMILLI
18	10/01/2020	1	1000	2	SYM9500004	SYMPHONY LIMITED UNCLAIMED SHARES	011457	VINAY KUMAR
19	10/01/2020	1	500	2	SYM9500004	SYMPHONY LIMITED UNCLAIMED SHARES	016898	RISHI RAM
20	10/01/2020	1	1000	2	SYM9500004	SYMPHONY LIMITED UNCLAIMED SHARES	019420	MAHENDRA AMBALAL PARIKH
21	10/01/2020	1	6500	2	SYM9500004	SYMPHONY LIMITED UNCLAIMED SHARES	021316	SBI CAPITAL MARKET LTD.
22	10/02/2020	1	10000	2	023396	JAIROOP CHAND P JAIN	SYM0100098	CHANDANBALA JAIROOPCHAND JAIN
23	10/02/2020	1	1000	2	003153	JAIROOPCHAND P JAIN	SYM0100098	CHANDANBALA JAIROOPCHAND JAIN
24	10/02/2020	1	1000	2	010771	ASHOK KUMAR GUPTA	SYM0100099	ANJALI GUPTA
25	20/02/2020	1	1000	2	003791	RATAN VALVANI	SYM0100100	DHANWANTI VALVANI
26	29/02/2020	1	1000	2	016210	ANGOORI DEVI JAIN	SYM0100101	ANGOORI DEVI JAIN

SYMPHONY LIMITED					
List of Top 100 Shareholders as on 31/03/2020 ((FII,FPI,FPC))					
SLNO	NAME/JOINT NAME(S)	ADDRESS	COUNTRY OF INCORPORATION	HOLDING	% TO EQT
1	MATTHEWS INDIA FUND	FOUR EMBARCADERO CENTER SUITE 550 SAN FRANCISCO CA	UNITED STATES OF AMERICA	813462	1.16
2	SCHRODER INTERNATIONAL SELECTION FUND INDIAN OPPOR TUNITIES	5 RUE HOHENHOF L-1736 SENNINGERBERG GRAND DUCHY OF LUXEMBOURG	LUXEMBOURG	322485	0.46
3	ONTARIO PENSION BOARD - MONDRIAN INVESTMENT PARTNE RS LIMITED	200 KING STREET WEST SUITE 2200 TORONTO ONTARIO	CANADA	295836	0.42
4	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	100 VANGUARD BOULEVARD MALVERN PA	UNITED STATES OF AMERICA	231719	0.33
5	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERI ES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS	100 VANGUARD BOULEVARD MALVERN PA	UNITED STATES OF AMERICA	215222	0.31
6	MONDRIAN EMERGING MARKETS SMALL CAP EQUITY FUND,L. P.	1105 N MARKET STREET SUITE 1118 WILMINGTON DELAWARE	UNITED STATES OF AMERICA	204668	0.29
7	UTI INDIA DYNAMIC EQUITY FUND	78 SIR JOHN ROGERSON'S QUAY DUBLIN 2 IRELAND	IRELAND	182019	0.26
8	UNISUPER LIMITED AS TRUSTEE FOR UNISUPER	Level1 385 Bourke Street Melbourne	AUSTRALIA	137681	0.20
9	ISHARES CORE EMERGING MARKETS MAURITIUS CO	INTERNATIONAL FINANCIAL SERVICES IFS COURT TWENTY EIGHT CYBERCITY EBENE MAURITIUS	MAURITIUS	133700	0.19
10	GOVERNMENT PENSION FUND GLOBAL	Bankplassen 2 P O Box 1179 Sentrum Oslo 0107	NORWAY	119038	0.17
11	SHINSEI UTI INDIA FUND (MAURITIUS) LIMITED	4TH FLOOR BARKLY WHARF EAST LE CAUDAN WATERFRONT PORT LOUIS	MAURITIUS	118067	0.17
12	EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFO LIO) OF DFA INVESTMENT DIMENSIONS GROUP INC. (DFAI DG)	6300 Bee Cave Road Building One Austin Texas 78746 USA	UNITED STATES OF AMERICA	108078	0.15
13	THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA I NVESTMENT TRUST COMPANY	6300 Bee Cave Road Building One Austin Texas 78746 USA	UNITED STATES OF AMERICA	72354	0.10
14	ISHARES CORE MSCI EM IMI UCITS ETF	JP MORGAN HOUSE IFSC DUBLIN 1	IRELAND	52165	0.07
15	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND	400 HOWARD STREET SAN FRANCISCO CA 94105	UNITED STATES OF AMERICA	48421	0.07
16	VANGUARD FTSE ALL-WORLD EX-US SMALL-CAP INDEXFUND	100 VANGUARD BOULEVARD MALVERN PA	UNITED STATES OF AMERICA	48368	0.07
17	ISHARES INDIA SC MAURITIUS COMPANY	IFS COURT TWENTYEIGHT CYBERCITY EBENE MAURITIUS	MAURITIUS	46935	0.07
18	MATTHEWS ASIA FUNDS-INDIA FUND	80 ROUTE D'ESCH L-1470 LUXEMBOURG GRAND DUCHY OF LUXEMBOURG	LUXEMBOURG	44229	0.06

19	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND	400 HOWARD STREET SAN FRANCISCO CA 94105	UNITED STATES OF AMERICA	43756	0.06
20	CALIFORNIA PUBLIC EMPLOYEES' RETIREMENT SYSTEM	400 Q STREET LPE ROOM 4800 SACRAMENTO CA	UNITED STATES OF AMERICA	40720	0.06
21	CANADIAN WORLD FUND LIMITED	10 TORONTO STREET CANADA	CANADA	33500	0.05
22	ISHARES CORE TOTAL INTERNATIONAL STOCK MAURITIUS C O	INTERNATIONAL FINANCIAL SERVICES IFS COURT TWENTY EIGHT CYBERCITY EBENE MAURITIUS	MAURITIUS	28552	0.04
23	SPDR S AND P EMERGING MARKETS SMALL CAP ETF	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	27479	0.04
24	INDIA AVENUE EQUITY FUND	LEVEL 2 575 BOURKE STREET MELBOURNE AUSTRALIA	AUSTRALIA	24365	0.03
25	SCHRODER INTERNATIONAL SELECTION FUND EMERGING MUL TI-ASSET INCOME	5 RUE HOHENHOF SENNINGERBERG		23718	0.03
26	SCHRODER INDIA EQUITY FUND	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INDIA	23452	0.03
27	EMIRATES NBD SICAV - EMIRATES INDIA EQUITY FUND	49 AVENUE J F KENNEDY L-1855 LUXEMBOURG	LUXEMBOURG	21943	0.03
28	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL C AP INDEX FUND NON LENDING	50 S LaSalle Street Chicago Illinois	UNITED STATES OF AMERICA	19609	0.03
29	STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	17882	0.03
30	UPS GROUP TRUST	55 GLENLAKE PKWY NE ATLANTA GEORGIA	UNITED STATES OF AMERICA	17465	0.02
31	PUB EQUITIES EMERGING MARKETS 1	UETLIBERGSTRASSE 231 ZURICH	SWITZERLAND	15777	0.02
32	SPDR S AND P EMERGING MARKETS ETF	STATE STREET FINANCIAL CENTER ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	11861	0.02
33	KB INDIA GROWTH EQUITY FUND	25F Shinhan Investment Corp 70 Yeoui-daero Yeongdeungpo-gu Seoul	SOUTH KOREA	9157	0.01
34	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	8313	0.01
35	VANGUARD TOTAL WORLD STOCK INDEX FUND	100 VANGUARD BOULEVARD MALVERN PA	UNITED STATES OF AMERICA	7065	0.01
36	ISHARES MSCI EM SMALL CAP UCITS ETF	JP MORGAN HOUSE IFSC DUBLIN 1	IRELAND	7027	0.01
37	ISHARES EMSC MAURITIUS CO	INTERNATIONAL FINANCIAL SERVICES IFS COURT TWENTYEIGHT CYBERCITY EBENE	MAURITIUS	6864	0.01
38	PUB EQUITIES EMERGING MARKETS 2	UETLIBERGSTRASSE 231 ZURICH	SWITZERLAND	6518	0.01

39	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	20 Triton Street Regents Place London NW1 3BF U K	UNITED KINGDOM	6488	0.01
40	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INDIA	6338	0.01
41	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND B	400 HOWARD STREET SAN FRANCISCO CA 94105	UNITED STATES OF AMERICA	5609	0.01
42	SOCIETE GENERALE - ODI	SBI-SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA EXTENSION BUILDING GROUND FLOOR S V ROAD SANTACRUZ WEST MUMBAI	INDIA	5585	0.01
43	EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	6300 Bee Cave Road Building One Austin Texas 78746 USA	UNITED STATES OF AMERICA	5585	0.01
44	WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	6300 Bee Cave Road Building One Austin Texas 78746 USA	UNITED STATES OF AMERICA	5500	0.01
45	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	277 EAST TOWN STREET COLUMBUS OH 43215 USA	UNITED STATES OF AMERICA	4698	0.01
46	T.A. WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	6300 Bee Cave Road Building One Austin Texas 78746 USA	UNITED STATES OF AMERICA	4435	0.01
47	GOTHIC CORPORATION	280 SOUTH MANGUM STREET SUITE 210 DURHAM NORTH CAROLINA	UNITED STATES OF AMERICA	4109	0.01
48	STATE STREET GLOBAL EQUITY EX-US INDEX PORTFOLIO	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	3877	0.01
49	UNITED CHINA - INDIA DYNAMIC GROWTH FUND	21 COLLYER QUAY 10-02 HSBC BUILDING SINGAPORE 049320	SINGAPORE	3742	0.01
50	MARSHFIELD PTE LTD	8 TEMASEK BOULEVARD 32-01 SUNTEC TOWER THREE SINGAPORE SINGAPORE	OTHERS	3600	0.01
51	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	730 THIRD AVENUE NEW YORK NEW YORK	UNITED STATES OF AMERICA	3468	0.00
52	FLORIDA RETIREMENT SYSTEM - AQR CAPITAL MANAGEMENT, LLC	1801 HERMITAGE BLVD SUITE 100 TALLAHASSEE FLORIDA	UNITED STATES OF AMERICA	2989	0.00
53	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON-LENDING COMMON TRUST FUND	ONE LINCOLN STREET BOSTON MA	UNITED STATES OF AMERICA	2983	0.00
54	SUNSUPER SUPERANNUATION FUND	30 LITTLE CRIBB STREET MILTON QLD	AUSTRALIA	2400	0.00
55	UTI INDIA BALANCED FUND	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	2004	0.00
56	MERCER QIF FUND PLC- MERCER INVESTMENT FUND 1	70 SIR JOHN ROGERSON'S QUAY DUBLIN 2	IRELAND	1918	0.00
57	ALASKA PERMANENT FUND	801 WEST 10TH STREET JUNEAU ALASKA	UNITED STATES OF AMERICA	1891	0.00



58	VIRGINIA RETIREMENT SYSTEM	1200 EAST MAIN STREET RICHMOND VIRGINIA	UNITED STATES OF AMERICA	1885	0.00
59	THE DUKE ENDOWMENT	280 SOUTH MANGUM STREET SUITE 210 DURHAM NORTH CAROLINA	UNITED STATES OF AMERICA	1774	0.00
60	DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	25/28 NORTH WALL QUAY DUBLIN 1	IRELAND	1614	0.00
61	SSGA SPDR ETFS EUROPE I PUBLIC LIMITED COMPANY - S PDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF	78 SIR JOHN ROGERSONS QUAY DUBLIN 2	IRELAND	1531	0.00
62	ISHARES EMERGING MARKETS IMI EQUITY INDEX FUND	LEVEL 26 101 COLLINS STREET MELBOURNE VIC	AUSTRALIA	1498	0.00
63	EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	1436	0.00
64	SYMMETRY CANADIAN EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	INDIA	1350	0.00
65	UTAH STATE RETIREMENT SYSTEMS	540 East 200 South Salt Lake City Utah	UNITED STATES OF AMERICA	1310	0.00
66	FLEXSHARES MORNINGSTAR EMERGING MARKETS FACTOR TIL T INDEX FUND	50 S LASALLE STREET CHICAGO IL	UNITED STATES OF AMERICA	1272	0.00
67	INTERNATIONAL MONETARY FUND - MANAGER B	700 19TH STREET NW WASHINGTON DC	UNITED STATES OF AMERICA	1259	0.00
68	GOTHIC HSP CORPORATION	280 SOUTH MANGUM STREET SUITE 210 DURHAM NORTH CAROLINA	UNITED STATES OF AMERICA	1214	0.00
69	VANGUARD ESG INTERNATIONAL STOCK ETF	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	INDIA	1144	0.00
70	CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	360 E Second Street Suite 600 Los Angeles CA		1131	0.00
71	INTEGRATED CORE STRATEGIES ASIA PTE LTD	80 RAFFLES PLACE UOB PLAZA 2 14-20	SINGAPORE	1092	0.00
72	JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	601 CONGRESS STREET BOSTON MA 02210 2805 USA	UNITED STATES OF AMERICA	1012	0.00
73	MORGAN STANLEY DEAN WITTER INVESTMENT MANAGEMENT I NC, A/C INDIA SPECIAL SITUATIONS FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI	INDIA	1000	0.00
74	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEX ICO	33 PLAZA LA PRENSA SANTA FE NEW MEXICO	UNITED STATES OF AMERICA	943	0.00
75	INTERNATIONAL MONETARY FUND - MANAGER A	700 19TH STREET NW WASHINGTON DC	UNITED STATES OF AMERICA	858	0.00
76	PRAMERICA SICAV - EMERGING MARKETS SMALL CAP	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI	INDIA	754	0.00
77	LEGAL AND GENERAL ASSURANCE (PENSION MANAGEMENT) L IMITED	One Coleman Street London	UNITED KINGDOM	649	0.00

78	EMPLOYEES' RETIREMENT PLAN OF DUKE UNIVERSITY	280 SOUTH MANGUM STREET SUITE 210 DURHAM NORTH CAROLINA	UNITED STATES OF AMERICA	610	0.00
79	OLD WESTBURY SMALL & MID CAP STRATEGIES FUND - DIM ENSIONAL FUND ADVISORS	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	INDIA	600	0.00
80	NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVE STABLE MARKET INDEX FUND-NON LENDING	50 South LaSalle Street Chicago Illinois	UNITED STATES OF AMERICA	504	0.00
81	BNP PARIBAS ARBITRAGE - ODI	BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI	INDIA	479	0.00
82	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - STAT E STREET GLOBAL ADVISORS	1111 BROADWAY SUITE 2100 OAKLAND CA		354	0.00
83	FIDELITY SALEM STREET TRUST FIDELITY TOTAL INTERNA TIONAL INDEX FUND	245 Summer Street Boston Massachusetts	UNITED STATES OF AMERICA	118	0.00
84	UBS (US) GROUP TRUST	ONE NORTH WACKER DRIVE CHICAGO ILLINOIS	UNITED STATES OF AMERICA	108	0.00
85	BNS ASIA LIMITED	ONE RAFFLES QUAY NORTH TOWER LEVEL 20	SINGAPORE	75	0.00
86	DB INTERNATIONAL (ASIA) LTD	ONE RAFFLES QUAY SOUTH TOWER 17 10	SINGAPORE	7	0.00
TOTAL:				3694270	5.28